



**NIH
HEAL
INITIATIVE**

Non-addictive Analgesic Therapeutics Development [Small Molecules and Biologics] to Treat Pain (UG3/UH3 Clinical Trial Optional)

January 19, 2021

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#NIHhealInitiative

NIH National Institutes of Health
HEAL Initiative

NIH HEAL Initiative and Helping to End Addiction Long-term are service marks of the U.S. Department of Health and Human Services.

NIH Helping to End Addiction Long-term (HEAL) Initiative: Pain Research Priorities

Enhance Pain Management

- Understand the biological underpinnings of chronic pain
- Accelerate the discovery and pre-clinical development of non-addictive pain treatments
- Advance new non-addictive pain treatments through the clinical pipeline
- Inform best practices for effective pain management while minimizing risk of addiction



Read about the research plan:

www.nih.gov/heal-initiative

JAMA June 12, 2018

Opinion

VIEWPOINT

Helping to End Addiction Over the Long-term
The Research Plan for the NIH HEAL Initiative

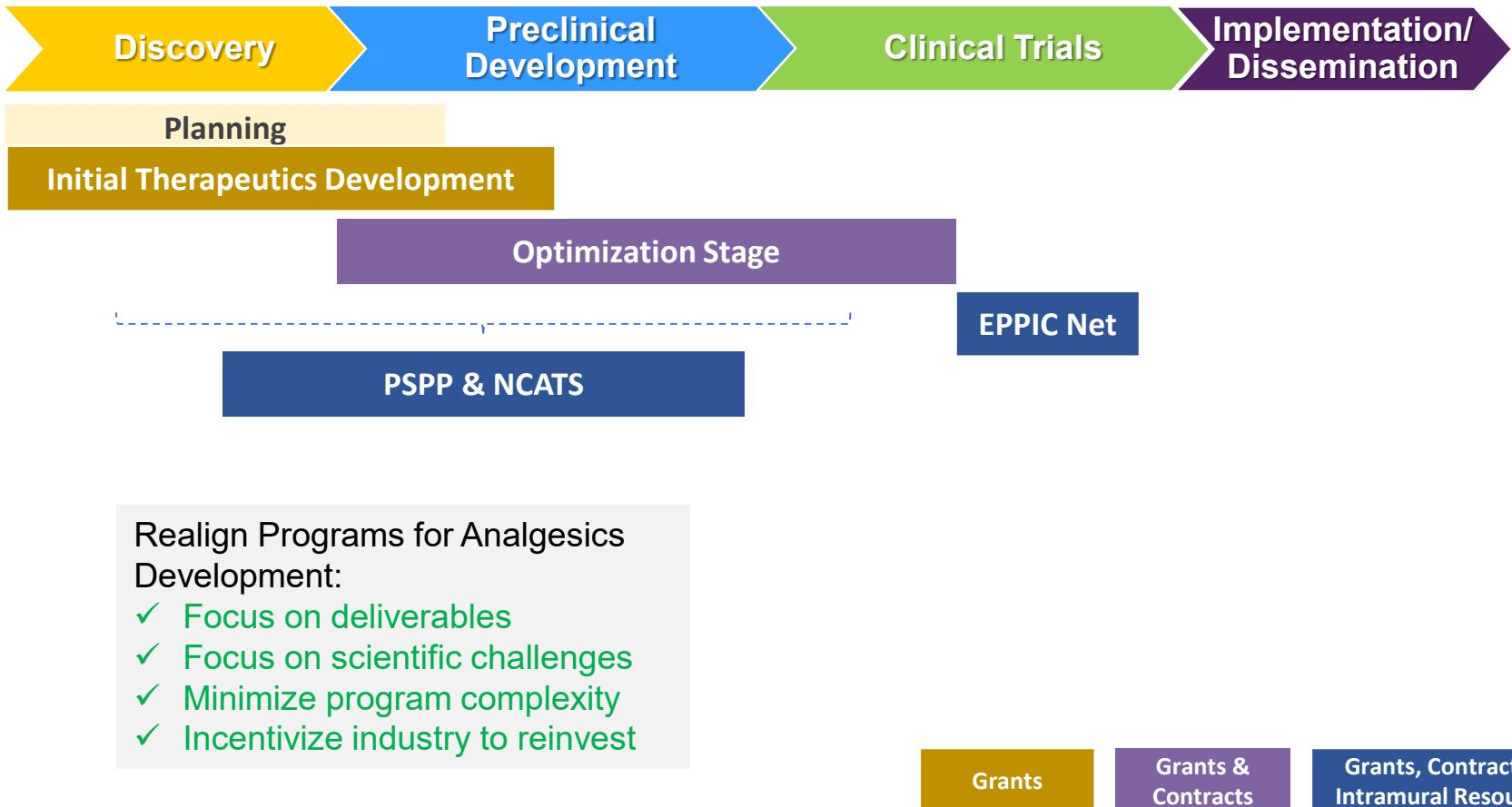
Collins, Koroshetz, Volkow; JAMA, 2018

Scientific Challenges – Analgesics Development

Specific Challenges:

1. Complexity of biology/pharmacology
2. Lack of validated targets
3. Lack of translatable pain measures
4. Lack of predictable preclinical models
5. Rigorous proof of concept studies
- 6. Robust therapeutic tools**
- 7. Early indication for safety and efficacy**
 - a. Target engagement biomarkers
- 8. Lack of resources and expertise at academic centers**

Proposed HEAL Analgesic Development Program



Realignment: HEAL Analgesic Development Program

Initial Therapeutics Development

Biomarkers

Discovery &
Target Validation

Initial Therapeutic Devel.

Mechanisms
Assays
Models
Biomarkers
Proof of efficacy/concept

Optimization Stage

Optimization

Biomarkers

Optimization Stage

Optimization of leads &
Target Engagement Biomarkers
IND
1st in human trials

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Plan to study:

- Type of pain
- Pain Condition
- ID Patient specific targets
- Molec. Pathway
- Cell type
- Etc.

Identified "hits"

IND approved, phase 1 complete asset

Non-addictive Analgesic Therapeutics Development [Small Molecules and Biologics] to Treat Pain

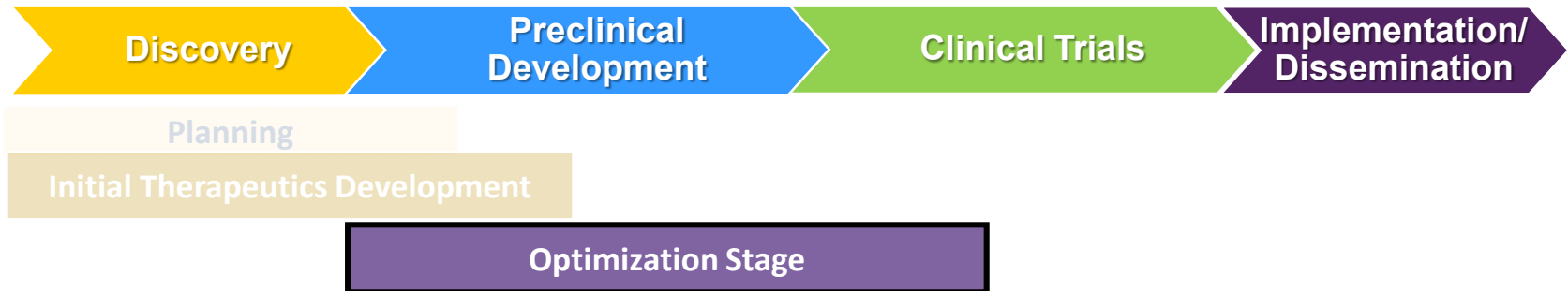
Goal

Accelerate development of novel, non-opioid, non-addictive analgesics

Five-year Benchmarks

- ✓ At least 5 promising projects with appropriate assay(s), model(s), and tools - ready for preclinical lead optimization.
- ✓ At least 3 novel analgesics with an IND and human safety data – ready for clinical efficacy studies through EPPIC-Net or equivalent phase II trial.

Non-addictive Analgesic Therapeutics Development [Small Molecules and Biologics] to Treat Pain (UG3/UH3) (RFA-NS-21-010)

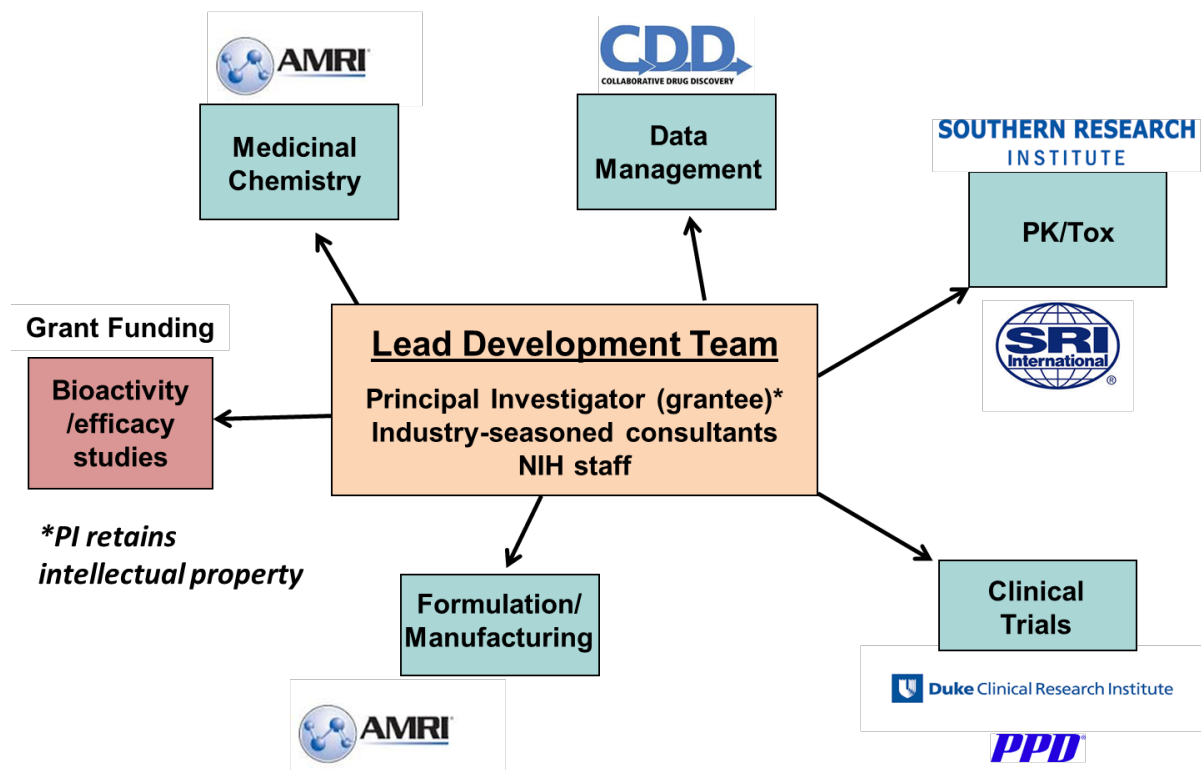


- Milestone driven Cooperative agreements
- Identify and conduct the studies necessary to meet the requirements for initiating optimization or IND-enabling studies
- Lead optimization to identify a development candidate
- Support IND-enabling studies and Phase I trials
- Expert scientific consultants, CROs, PSPP, and NCATS

End Goals and Milestones:

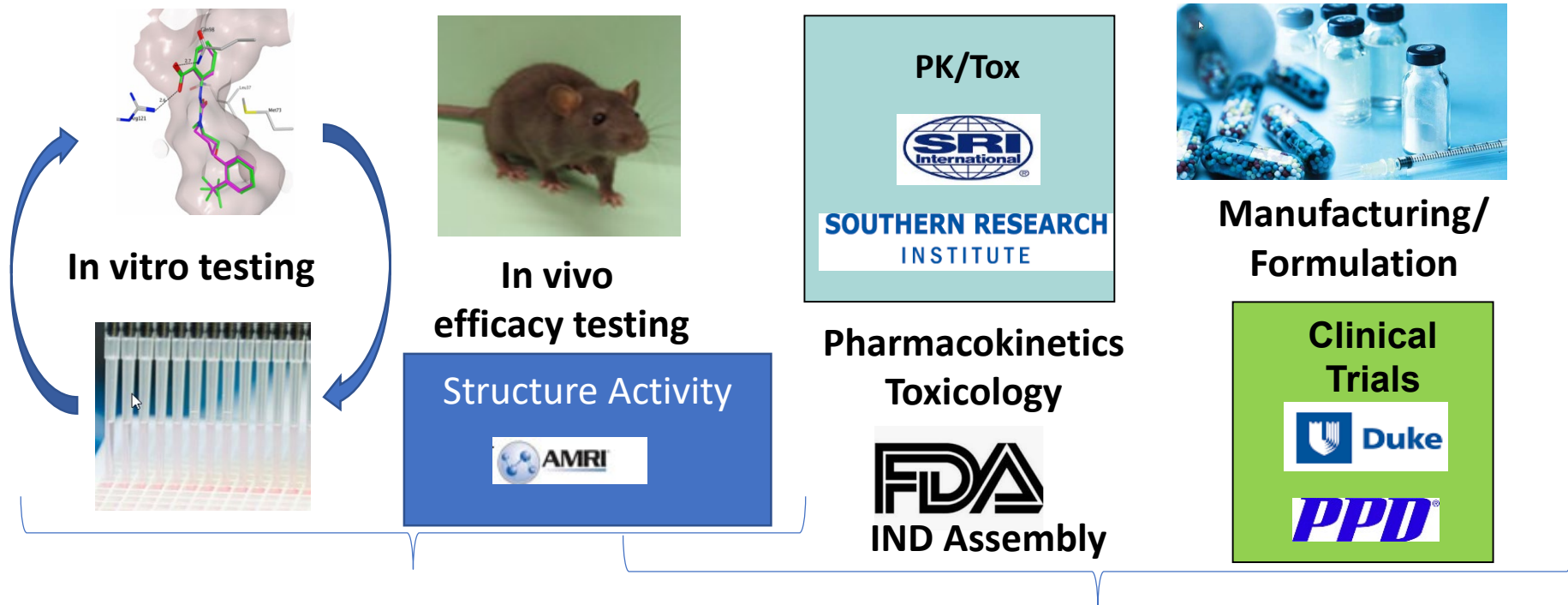
- ✓ Identify clinical candidate
- ✓ Optimization and IND-enabling studies
- ✓ File IND
- ✓ Complete Phase I trial(s)
- ✓ Identify companion target engagement biomarker
- ✓ Seek partnerships
- ✓ **Ready for Phase II clinical trial**

Provide Resources Not Readily Available in Academia



* Contract resources are tailor-made to support PI teams
(Other contracts may be implemented)

Non-addictive Analgesic Therapeutics Development [Small Molecules and Biologics] to Treat Pain (UG3/UH3 Clinical Trial Optional)



UG3 Phase (Optimization)
Anticipate to launch up to 17 projects during first 3 years

UH3 Phase (Final Optimization/Development)
Anticipate ~5 projects to advance to UH3

Focus on Markers to Support Target Engagement and Pharmacodynamics for Early Clinical work

- PD/response (target engagement) biomarkers
- Safety biomarkers

- ✓ Interpret IND Toxicology
- ✓ Project Phase I human dosing



Non-addictive Analgesic Therapeutics Development [Small Molecules and Biologics] to Treat Pain (UG3/UH3)

The overall goal of this initiative is to support preclinical optimization and early Phase I testing to develop of safe, effective, and non-addictive small molecule and biologic therapies to treat pain.

- Accelerate the optimization and development of promising small molecule and biologic hits/leads into therapeutic agents
- Entry Criteria:
 - A rigorous biological rationale for the intended approach
 - A promising small molecule or biologic starting point for optimization
 - Scientifically sound assays to optimize and test the agent

9 Participating IC's plus ORWH



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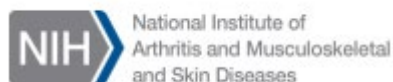
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Key Resources Available

- NIH Contracts, medicinal chemistry, PK, drug manufacturing and formulation and Toxicology,
 - Available for small molecules and coming for some aspects of biologics
- Access to NIH Contracted Subject Matter Expert Consultants (Optimization and Development)
- NCATS intramural scientists and programs
 - Need to reach out to establish CRADA with NCATS prior to submission on application.
- Additional IC resources as available

Anticipate Most Projects Will Enter at the Discovery Stage

All Projects Begin with UG3 Phase

Eligible Organizations

- Academic Institutions
- Small Businesses
- Foreign Institutions and Components*



Discovery			Development
Exploratory	Hit to Lead	Lead Optimization	IND Enabling/Phase I

Duration of UG3/UH3 combined is 5 yrs maximum

General (UG3/UH3) RFA-NS-21-010

UG3: 2 yrs max

UH3: 3 yrs

NOT-NS-21-016

- **Release Date:** November 25, 2020
- The purpose of this notice is to inform potential applicants of the **removal of the Cost Matching Requirement Instructions for [RFA-NS-21-010](#)** "HEAL Initiative: Non-addictive Analgesic Therapeutics Development [Small Molecules and Biologics] to Treat Pain (UG3/UH3 Clinical Trial Optional)". This information was erroneously published in the FOA. NINDS has removed the Cost Matching Requirement instructions from the R&R Budget and the letters of support, under the PHS 398 Research Plan, as shown below. **This notice is effective for the March 24, 2021 receipt date and subsequent due dates.**

Activities that are nonresponsive

- Screening to identify hit compounds
- Basic research and studies of disease mechanism
- Animal model development
- Development of diagnostics and diagnostic devices
- Studies directed beyond Phase I clinical testing
- Opioid sparing projects and projects targeting mu-opioid

Two Phase Grant Mechanism

1. Exploratory/Hit to Lead period (UG3):
 - Expect to start up 17 projects under this RFA in the first 3 years.
 - Identify and conduct the studies necessary to meet the entry for Lead Optimization
 - Design execution plans and go/no go milestones for all subsequent UH3 award
 - Progression from the UG3 award to the UH3 award will be based on **administrative review**
 - Each project can receive only one UG3 award
2. Lead Optimization/IND assembly period/Clinical (UH3):
 - Up to 5 projects expected to advance to this phase in first 5 years.
 - These projects will proceed through to the Phase I Clinical trial

First Phase-UG3 (1-2 years)

- All projects must enter the UG3 phase of the program.
- For entry, projects must have:
 - A promising small molecule or biologic starting point for optimization
 - A rigorous biological rationale for the intended approach, and scientifically sound assays to test the agent.
 - Discuss options for target engagement biomarkers if available.
- FOA encourages projects proposing the following optimization activities:
 - Optimization using potency and efficacy screens
 - Preliminary efficacy testing in appropriate pain animal models
 - Characterization and testing for ADME (absorption, distribution, metabolism, and excretion)
- Goal: Select a characterized lead candidate
 - Ready for in vivo efficacy testing, (additional optimization may be necessary.

Second Phase-UH3

Administrative Review to determine which projects enter UH3.

- Any further optimization activities if needed
- Biomarker development
- Non-GLP toxicology studies (e.g. dose range finding toxicology)
- Pharmacokinetics (PK)
- Formulation and stability studies
- Cell bank development and testing
- Gene expression level
- Biodistribution, tumorigenicity, and immunogenicity
- Process development
- Manufacturing of candidate therapeutics for IND
- IND-enabling safety pharmacology, genotoxicity, hERG and toxicology studies.

Administrative Review

- NIH program staff and leadership will conduct an annual administrative review.
- At the end of the UG3 phase, NIH program staff and leadership will determine if the project will advance to the UH3 phase.
- The reviews will be based on:
 - Successful achievement of milestones
 - The overall feasibility of project advancement including biomarker work and considering data that may not have been captured in milestones
 - Competitive landscape for the disease indication and drug target
 - Program priorities
 - Availability of funds

Some things to do

- **Read the Funding Opportunity Announcement carefully**
- **Include budget sheets for all years of the grant (both phases) by year (e.g. 5-year grant should have 5 sets of budget sheets).**
- **Clearly indicate what will be done as part of the grant and what is expected by NIH contractors. (TABLE)**
- **Address Biomarker Component**
- Do discuss your proposal with NIH
 - Program Directors
- Stick to page limit
- Put forth a solid scientific premise and address rigor
- Address obvious criticisms of the starting points
 - Suggest contacting a colleagues and NIH staff.

Some things to do

- Scientific premise
 - *Explicitly discuss the quality of the data presented in prior publications in a detailed manner.*
- Rigor
 - *Detail the controls being used for each type of experiment and appropriately highlight potential confounds like surgery exposure, genotype, culture-to-culture variability, and human placebo effects.*
 - *Include details within the experimental design about the reduction of potential bias, including blinding, randomization, and inclusion/exclusion criteria.*
 - *Describe the source of the data on which the sample size estimation (power analysis) is based **and** details about the analysis itself.*

Some additional things to do

- Complete your required registrations at least 6-8 weeks in advance of receipt dates
- Consider submitting your application early
 - Gives you a chance to react to issues that might result in your application being withdrawn.
- Discuss your budget with NIH Staff if you have questions.
- Talk with your tech transfer/BD group.
 - Need to plan for funding patents and licensing activities

Things NOT to do

- Please do not plan for this to be sole funding for your lab
 - Milestone driven programs can end abruptly.
- Forget to address use of NIH contract resources
 - Please do not plan for NIH contracts for disease biology.
 - Please do not include NIH contracts in application budget
 - Do not reach out to planned NIH contractors for letters of support

Questions and Discussions

1. Application process
2. Review process
3. Proposals and budgets
4. Contracts
5. Intellectual property

Webinar Questions?

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